

PATENT COOPERATION TREATY

10049767

From the INTERNATIONAL BUREAU

PCT

NOTIFICATION OF ELECTION
(PCT Rule 61.2)

Date of mailing (day/month/year) 17 April 2001 (17.04.01)	To: Commissioner US Department of Commerce United States Patent and Trademark Office, PCT 2011 South Clark Place Room CP2/5C24 Arlington, VA 22202 ETATS-UNIS D'AMERIQUE in its capacity as elected Office
International application No. PCT/US00/22335	Applicant's or agent's file reference M6741 HST/NI
International filing date (day/month/year) 16 August 2000 (16.08.00)	Priority date (day/month/year) 16 August 1999 (16.08.99)
Applicant BANNAI, Hirokatsu et al	

1. The designated Office is hereby notified of its election made:

in the demand filed with the International Preliminary Examining Authority on:

19 December 2000 (19.12.00)

in a notice effecting later election filed with the International Bureau on:

2. The election was

was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No.: (41-22) 740.14.35	Authorized officer Juan Cruz Telephone No.: (41-22) 338.83.38
---	---

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT
(PCT Article 36 and Rule 70)

6

REC'D 09 AUG 2001
WIPO

Applicant's or agent's file reference M6741 HST/NI	FOR FURTHER ACTION See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/US00/22335	International filing date (day/month/year) 16 August 2000 (16.08.2000)	Priority date (day/month/year) 16 August 1999 (16.08.1999)
International Patent Classification (IPC) or national classification and IPC IPC(7): B05D 1/18, 1/36, 3/02, 3/10 and US Cl.: 427/238, 304, 305, 404, 405, 436, 437, 438, 443.1, 376.6, 376.7, 376.8, 383.1, 383.3, 383.5, 383.7		
Applicant HENKEL CORPORATION		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.

2. This REPORT consists of a total of **4** sheets, including this cover sheet.

This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of **0** sheets.

3. This report contains indications relating to the following items:

- I Basis of the report
- II Priority
- III Non-establishment of report with regard to novelty, inventive step and industrial applicability
- IV Lack of unity of invention
- V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI Certain documents cited
- VII Certain defects in the international application
- VIII Certain observations on the international application

Date of submission of the demand 19 December 2000 (19.12.2000)	Date of completion of this report 21 June 2001 (21.06.2001)
Name and mailing address of the IPEA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703)305-3230	Authorized officer Michael Barr  Telephone No. 703-308-0661

I. Basis of the report

1. With regard to the elements of the international application:*

 the international application as originally filed. the description:

pages 1-27 as originally filed

pages NONE, filed with the demandpages NONE, filed with the letter of _____. the claims:

pages 28 and 29, as originally filed

pages NONE, as amended (together with any statement) under Article 19pages NONE, filed with the demandpages NONE, filed with the letter of _____. the drawings:pages NONE, as originally filedpages NONE, filed with the demandpages NONE, filed with the letter of _____. the sequence listing part of the description:pages NONE, as originally filedpages NONE, filed with the demandpages NONE, filed with the letter of _____.

2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language _____ which is:

 the language of a translation furnished for the purposes of international search (under Rule 23.1(b)). the language of publication of the international application (under Rule 48.3(b)). the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).

3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

 contained in the international application in printed form. filed together with the international application in computer readable form. furnished subsequently to this Authority in written form. furnished subsequently to this Authority in computer readable form. The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished. The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.4. The amendments have resulted in the cancellation of: the description, pages _____ the claims, Nos. _____ the drawings, sheets/fig _____5. This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**

* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).

** Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.

V. Reasoned statement under Article 5(2) with regard to novelty, inventive step and industrial applicability; citations and explanations supporting such statement

1. STATEMENT

Novelty (N)	Claims 1-4	YES
	Claims NONE	NO
Inventive Step (IS)	Claims NONE	YES
	Claims 1-4	NO
Industrial Applicability (IA)	Claims 1-4	YES
	Claims NONE	NO

2. CITATIONS AND EXPLANATIONS (Rule 70.7)

Claims 1-4 lack an inventive step under PCT Article 33(3) as being obvious over Knaster et al. in view of Yonezaki et al., Cormier, and Nakayama et al.

Knaster et al. teaches nickel-free phosphating a substrate by pre-treating the substrate with a conditioner, and then phosphating with a nickel-free, aqueous solution containing zinc, phosphate, and cobalt ions in the claimed amounts (Abstract; Col. 5, lines 57-Col. 6, line 8, Col. 9, lines 1-7). The phosphating composition taught in Example II includes a conversion accelerator.

Knaster et al. does not teach that the pretreating conditioner contains the claimed materials. Yonezaki et al. teaches a phosphating pretreatment solution which contains water and bivalent or trivalent phosphate particles, in the claimed concentration (Col. 2, lines 34-60). Yonezaki et al. does not teach that the pretreatment solution contains the adhesion promotion material. Cormier teaches that phosphates are kept stable in water with chelating agents, such as phosphonic acids, in the claimed concentration (Col. 4, lines 1-13). It would have been obvious to one skilled in the art to add phosphonic acids, in the amounts taught by Cormier, to the phosphate containing pretreatment solution of Yonezaki et al., in order to stabilize the composition, as suggested by Cormier. It would have thus been obvious to one skilled in the art to use the phosphating pretreatment composition suggested by Yonezaki et al. and Cormier, in the process of Knaster et al., with the expectation of producing the desired phosphating of the substrate.

Knaster et al., Yonezaki et al., and Cormier do not teach the particle size of the phosphating particles in the pretreatment solution. Nakayama et al. teaches a surface conditioning composition applied to a substrate prior to phosphating, where the surface conditioning composition contains bivalent or trivalent phosphate particles of less than 5 microns in size (Pg. 8, lines 12-20). It would have been obvious to one skilled in the art to use phosphate particle size of less than 5 microns in the pretreatment solution of Knaster et al., Yonezaki et al., and Cormier, with the expectation of providing the desired surface conditioning for phosphating treatment, as is suggested by Nakayama et al.

Claims 1-4 meet the criteria set out in PCT Article 33(2), because the prior art does not teach the phosphating and surface conditioning process and materials as particularly claimed.

Claims 1-4 meet the criteria set out in PCT Article 33(4), because the claimed invention has industrial applicability in the metal phosphating and automotive painting industry.

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the questions whether the claims are fully supported by the description, are made:

Claims 1-4 are objected to under PCT Rule 66.2(a)(v) as lacking clarity under PCT Article 6 because the claims 1-4 are indefinite for the following reason(s): Claim 1, lines 5-6 cites the limitation of that the composition "comprises, preferably consists essentially of, or more preferably consists of....". Such limitations render the claim vague and indefinite since it is not clear as to the breadth of the composition claimed. What is required of the composition? Does it merely comprise, consist essentially, or consist of the claimed materials? The examiner is interpreting the claims with the broadest possible interpretation (i.e. comprise).

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
22 February 2001 (22.02.2001)

PCT

(10) International Publication Number
WO 01/12341 A1

(51) International Patent Classification⁷: **B05D 1/18**,
3/10, C23C 22/07

310, 2-12-26 Nakae, Minami-ku Nagoya-shi, Aichi Pref.
457-0004 (JP). NAKAYAMA, Takaomi [JP/JP]; 306,
4551-2 Tamura, Hiratsuka-shi, Kanagawa Pref. 254-0013
(JP).

(21) International Application Number: PCT/US00/22335

(22) International Filing Date: 16 August 2000 (16.08.2000)

(74) Agent: HARPER, Stephen, D.; Henkel Corporation,
2500 Renaissance Boulevard, Suite 200, Gulph Mills, PA
19406 (US).

(25) Filing Language: English

English

(81) Designated States (*national*): BR, CA, CN, CZ, KR, MX,
PL, TR, US, ZA.

(30) Priority Data:
11/230060 16 August 1999 (16.08.1999) JP

(84) Designated States (*regional*): European patent (AT, BE,
CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC,
NL, PT, SE).

(71) Applicant (*for all designated States except US*): HENKEL
CORPORATION [US/US]; Suite 200, 2500 Renaissance
Boulevard, Gulph Mills, PA 19406 (US).

Published:

— *With international search report.*

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(72) Inventors; and

(75) Inventors/Applicants (*for US only*): BANNAI, Hi-
rokatsu [JP/JP]; 2784 Ohkami, Hiratsuka-shi, Kanagawa
Pref. 254-0012 (JP). NAGASHIMA, Yasuhiko [JP/JP];

WO 01/12341 A1

(54) Title: PROCESS FOR FORMING A PHOSPHATE CONVERSION COATING ON METAL

(57) Abstract: A metal surface on which a phosphate conversion coating is to be formed and which has been surface conditioned by contact with a liquid surface conditioner composition that contains dispersed fine particles of solid phosphate of at least one divalent or trivalent cations type and an adhesion promoting agent. After such conditioning, a very high quality conversion coating can be formed on the surface by contact with a nickel-free liquid phosphating composition that contains at least acid, zinc cations, and phosphate anions and optionally and preferably also contains other materials.

Description**PROCESS FOR FORMING A PHOSPHATE CONVERSION COATING ON METAL****FIELD AND BACKGROUND OF THE INVENTION**

This invention relates to processes for the phosphate conversion treatment of metals wherein said processes employ a nickel ion-free phosphate conversion treatment bath and produce a uniform, strongly paint-adherent, and highly post-painting corrosion-resistant coating on such metals as steel sheet, zinc-plated steel sheet, aluminum alloys, and magnesium alloys.

Phosphate conversion treatments are currently executed as a pre-paint treatment on automotive body components in order to enhance corrosion resistance and improve the steel sheet-to-paint adherence. In these phosphate conversion treatments, the metal is first brought into contact with a colloidal titanium surface conditioning bath and is then brought into contact with an acidic solution containing phosphate ions, zinc ions, nickel ions, and manganese ions in order to precipitate a phosphate coating on the metal.

However, in association with today's heightened concern with environmental protection, the regulatory situation with regard to nickel in wastewater has become increasingly stringent, particularly in Europe. It is certainly prudent to anticipate that regulations on nickel in wastewater might also become much more demanding in other countries in the future. These considerations make it desirable to eliminate the nickel from the conversion treatment baths used in zinc phosphate treatments.

Unfortunately, a number of negative effects are caused by removal of the nickel from many phosphate treatment baths used in the aforementioned phosphate treatment processes: The crystals in the phosphate coating undergo coarsening; the phosphate coating suffers from a loss of uniformity, the post-painting corrosion resistance declines, and the secondary (water-resistant) adherence of paint to zinc-plated material also declines.

Japanese Laid Open Patent Application (PCT) Number Hei 7-505445 (505,445/1995) teaches a nickel-free phosphate treatment process in order to solve the problems referenced above. This treatment process involves formation of a nickel-free phosphate coating by treatment with a phosphate conversion bath containing 0.2 to 2 grams of zinc ions per liter of bath (this unit of concentration being freely used hereinafter for any constituent of any liquid and being usually abbreviated as "g/l"), 0.5 to 25 milligrams of copper ions per liter, and 5 to 30 g/l phosphate ions. This process uses copper as a substitute metal for nickel, but still suffers from several problems. Since the allowable copper level in this conversion treatment bath is so very low, management of the

copper concentration in real-world lines is exceedingly difficult. Another concern is with electrolytic corrosion of the equipment accompanied by displacement copper plating on parts of the equipment.

Given this background, there is a desire for development of a phosphate conversion treatment process that does not use nickel but nevertheless affords a post-painting adherence and post-painting corrosion resistance that are the equal of those afforded by existing phosphate conversion treatments that use nickel. One major object of this invention is to provide a phosphate conversion treatment process that treats metal surfaces with a nickel-free conversion treatment bath and produces a phosphate conversion coating that evidences an excellent post-painting corrosion resistance and excellent paint adherence.

BRIEF SUMMARY OF THE INVENTION

It has been found that most or all of the problems caused by the removal of nickel from previous phosphating treatments can be eliminated by using a surface conditioning composition that contains very fine, dispersed solid phosphate particles.

DETAILED DESCRIPTION OF THE INVENTION AND PREFERRED EMBODIMENTS

More specifically, a process according to the invention for forming a phosphate conversion on a metal substrate surface comprises, preferably consists essentially of, or more preferably consists of the following operations:

- 20 (I) contacting the metal substrate surface with an aqueous liquid surface conditioning composition (hereinafter for brevity often called a "bath" without intending any implication that it must be contacted with the metal substrate by immersion of the metal substrate in a volume of the aqueous liquid surface conditioning composition) that comprises, preferably consists essentially of, or more preferably consists of, water and the following components:
 - 25 (I.A) dispersed solid phosphate particles that:
 - (i) have a diameter no greater than 5 micrometres, this unit of length being hereinafter usually abbreviated as " μm "; and
 - (ii) comprise, preferably consist essentially of, or more preferably consist of, at least one substance selected from the group consisting of phosphates that contain at least one divalent or trivalent metal cation; and
 - 30 (I.B) as adhesion-promoting component, at least one selection from the group consisting of the following subgroups:
 - (1) monosaccharides, polysaccharides, and derivatives thereof;
 - (2) phosphorus containing solutes selected from the group consisting of orthophosphoric acid, condensed phosphoric acids, and organophos-

phonic acid compounds;

- (3) water-soluble polymers that are homopolymers or copolymers of vinyl acetate and derivatives of these homopolymers and copolymers; and
- (4) copolymers and polymers as afforded by the polymerization of:
 - 5 (a) at least one selection from:
 - monomers, exclusive of vinyl acetate, that conform to general chemical formula (I):



where $\text{R}^1 = \text{H}$ or CH_3 and $\text{R}^2 = \text{H}$, C_1 to C_5 alkyl, or C_1 to C_5 hydroxyalkyl; and

-- other α,β -unsaturated carboxylic acid monomers; and, optionally,

- 15 (b) not more than 50 % by weight of monomers that are not vinyl acetate and are not within the description of part (a) immediately above but are copolymerizable with said monomers that are within the description of said part (a);

and

- 20 (II) contacting the metal substrate surface as conditioned in operation (I) as described above with a nickel-free phosphate conversion treatment bath that comprises, preferably consists essentially of, or more preferably consists of water and the following amounts of the following components:
 - (II.A) from 0.5 to 5 g/l of zinc cations;
 - 25 (II.B) from 5 to 30 g/l of phosphate ions; and
 - (II.C) a component of conversion accelerator.

In a preferred embodiment, the above-specified conversion treatment baths also contain from 0.1 to 3.0 g/l of at least one type of metal containing ions selected from the group consisting of magnesium ions, cobalt ions, manganese ions, calcium ions, tungstate ions, and strontium ions.

The features of this invention are explained in greater detail hereinbelow. Whenever a group of materials from which a constituent can be selected is specified, whether by a specific list, use of generic chemical terms, and/or conformance to a general chemical formula, any two or more of the group may be selected instead of a single member with equal preference, unless explicitly stated otherwise.

While no particular limitations apply to the metal on which the inventive phosphate treatment process may be executed, this metal is preferably steel sheet, zinc-

plated steel sheet, zinc alloy-plated steel sheet, magnesium alloy, or aluminum alloy.

It is preferred in the practice of the invention that the metal substrate surface be clean prior to the phosphate conversion treatment. Metal whose surface is already clean can be brought without further treatment into contact with the surface conditioning bath.

5 However, in the case of treatment of metal whose surface is contaminated with adherent materials such as iron particles, dust, and oil, the contaminants adhering on the surface should be removed by cleaning, for example, by cleaning with a water-based alkaline degreaser or an emulsion degreaser or by solvent degreasing. When a water-based cleaner is used, the cleaning bath remaining on the metal surface is preferably removed
10 by the provision of, for example, a water rinse step after the cleaning step.

At least some of the particles of divalent and/or trivalent metal phosphate present in a surface conditioning bath in a process according to the invention must have a particle size or diameter no greater than 5 µm. (Insolubles of larger size are undesirable because — depending on the particular circumstances — they often cannot be stably maintained in the aqueous bath.) These phosphate particles are believed to function as nuclei during phosphate crystal deposition and also to promote the deposition reaction itself, by undergoing partial dissolution in the phosphate conversion treatment bath and inducing a substantial acceleration of the initial phosphate crystal deposition reactions by supplying one or more main components of the phosphate crystals to the region immediately adjacent to the metal surface.
20

The divalent and trivalent metals used here are not critical, but preferably comprise at least one selection from Zn, Fe, Mn, Co, Ca, Mg, and Al. The divalent and/or trivalent metal phosphate particles are preferably present at a concentration from 0.001 to 30 g/l. Acceleration of the initial phosphate crystal deposition reactions does not normally occur at a divalent and/or trivalent metal phosphate particle concentration below 0.001 g/l due to the small amount of divalent and/or trivalent metal phosphate particles that become adsorbed on the metal surface at such low concentrations. Concentrations below 0.001 g/l also prevent acceleration of the crystal deposition reactions due to the small number of divalent and/or trivalent metal phosphate particles available to act as
25 crystal nuclei. Divalent and/or trivalent metal phosphate particle concentrations in excess of 30 g/l cannot be expected to provide additional promotion of the phosphate conversion reactions and hence will be uneconomical.

The adhesion-promoting component that must be present in the inventive surface conditioning bath functions to improve the dispersion stability of the divalent and/or trivalent metal phosphate particles and to accelerate adsorption of the divalent and/or trivalent metal phosphate particles onto the metal surface. More specifically, the adhesion pro-

moting component is believed to adsorb on the surface of the divalent and/or trivalent metal phosphate particles and, through a steric hindrance activity and repulsive forces arising from its electrical charge, to prevent collisions among the divalent and/or trivalent metal phosphate particles in the surface conditioning bath and thereby inhibit their aggregation and sedimentation. In addition, due to its structure, the adhesion-promoting component itself is believed to have an ability to adsorb to metal surfaces and thereby to accelerate adsorption to metal surfaces by the divalent and/or trivalent metal phosphate particles, so that the surface conditioning activity manifests upon contact between the metal workpiece and surface conditioning bath.

The adhesion-promoting component concentration is preferably from 1 to 2,000 parts by weight of the adhesion promoting component per 1000 parts by weight of the total conditioning composition, this unit of concentration being hereinafter usually abbreviated as "ppm". At concentrations below 1 ppm a surface conditioning activity can not usually be produced just by contact between the metal workpiece and the surface conditioning bath. Not only can no additional benefit be expected at concentrations in excess of 2,000 ppm, but such concentrations can impair the phosphate conversion coating formed, perhaps as a result of excessive adsorption of the adhesion promoting component on the metal substrate surface.

A saccharide type of adhesion-promoting component for the surface conditioning operation in a process according to the invention may be exemplified by fructose, tagatose, psicose, sorbose, erythrose, threose, ribose, arabinose, xylose, lyxose, allose, altrose, glucose, mannose, gulose, idose, galactose, talose, and the sodium and ammonium salts of all of these saccharides.

A phosphorus containing acid type of adhesion-promoting component in the surface conditioning process is exemplified by orthophosphoric acid, polyphosphoric acids, and organophosphonic acid compounds, or more individually by pyrophosphoric acid, triphosphoric acid, trimetaphosphoric acid, tetrametaphosphoric acid, hexametaphosphoric acid, aminotrimethylenephosphonic acid, 1-hydroxyethylidene-1,1-diphosphonic acid, ethylenediaminetetra methylenephosphonic acid, diethylenetriamine-pentamethylenephosphonic acid, and the sodium and ammonium salts of all of the preceding acids. Sodium salts are preferred for the organophosphonic acids if they are to be used in salt form.

Polymeric adhesion promoting components derived from polyvinylacetate in a surface conditioning operation in a process according to the invention are exemplified by polyvinyl alcohols afforded by the hydrolysis of vinyl acetate polymers, cyanoethylated polyvinyl alcohols afforded by the cyanoethylation of polyvinyl alcohol with acrylonitrile,

formalated polyvinyl alcohols afforded by the acetalation of polyvinyl alcohol with formaldehyde, urethanized polyvinyl alcohols afforded by the urethanation of polyvinyl alcohol with urea, and water-soluble polymers afforded by the introduction of carboxyl moieties, sulfonic moieties, or amide moieties into polyvinyl alcohol. Suitable vinyl acetate-copolymerizable monomers are exemplified by acrylic acid, crotonic acid, and maleic anhydride. The effects associated with the present invention will be fully manifested as long as the vinyl acetate polymer or derivative thereof or the copolymer of vinyl acetate and vinyl acetate-copolymerizable monomer is soluble in water. Within this limitation, these effects are independent of the degree of polymerization and the degree of functional group introduction of the subject polymers.

Suitable monomers for other polymeric adhesion promoting components for the surface conditioning operation are exemplified by: methyl acrylate, ethyl acrylate, propyl acrylate, butyl acrylate, pentyl acrylate, hydroxymethyl acrylate, hydroxyethyl acrylate, hydroxypropyl acrylate, hydroxybutyl acrylate, hydroxpentyl acrylate, hydroxymethyl methacrylate, hydroxyethyl methacrylate, hydroxypropyl methacrylate, hydroxybutyl methacrylate, and hydroxpentyl methacrylate as examples of polymers according to formula (I); acrylic acid, methacrylic acid, and maleic acid as unsaturated acids; and styrene, vinyl chloride, and vinylsulfonic acid as optional comonomers.

A surface conditioning bath used by the inventive phosphate treatment processes can also optionally contain an alkali metal salt or ammonium salt or a mixture thereof, selected from the group consisting of orthophosphate salts, metaphosphate salts, orthosilicate salts, metasilicate salts, carbonate salts, bicarbonate salts, nitrate salts, nitrite salts, sulfate salts, borate salts, organic acid salts, and combinations of two or more selections from the aforesaid alkali metal and ammonium salts. The concentration of this component is not critical, but when used is preferably from 0.5 to 20 g/l. The surface conditioning bath may also contain a surfactant to promote uniform wetting of the surface being treated.

The phosphate conversion treatment process of this invention will now be considered in greater detail. A zinc ions concentration below 0.5 g/l, because it can prevent the formation of a coating of acceptable weight and can result in a diminished coverage ratio by the deposited phosphate crystals, can produce an inadequate post-painting corrosion resistance. A zinc ions concentration in excess of 5.0 g/l can cause a coarsening of the coating crystals, resulting in particular in a decline in the post-painting adherence. The use of a phosphate ions concentration below 5.0 g/l strongly impairs the production of a normal conversion coating. Concentrations in excess of 30.0 g/l are uneconomical since they provide no additional effect. Phosphate ions can be supplied by the addition

of phosphoric acid or its aqueous solution to the phosphate conversion treatment bath or by the dissolution of, for example, sodium, magnesium, or zinc phosphate in the phosphate conversion treatment bath.

The conversion treatment bath also contains a component known as a "conversion accelerator" or simply "accelerator". The accelerator acts to restrain gaseous hydrogen production during etching, an action sometimes called "depolarizing" the metal substrate surface. Otherwise, however, no particular limitations apply to the accelerator, and any material or combination of materials recognized as a conversion accelerator in prior art may be used.

The phosphate conversion treatment bath of this invention can also contain from 0.1 to 3.0 g/l of at least one type of metal containing ions selected from the group consisting of magnesium cations, cobalt cations, manganese cations, calcium cations, tungstate anions, and strontium cations. The presence of this component in the phosphate conversion treatment bath, through its incorporation into the phosphate coating and through its precipitation in a form separate from the phosphate, provides additional performance enhancements in the post-painting corrosion resistance and post-painting adherence, respectively. The use of a concentration below 0.1 g/l usually does not effect any improvement in painting performance. A concentration above 3.0 g/l is economically wasteful, since no additional improvements in painting performance usually results; a high concentration can actually hinder deposition of the zinc phosphate that is the main component of an effectively protective conversion coating produced according to this invention. The source of one of the types of metal cations can be, for example, an oxide, hydroxide, carbonate, sulfate, nitrate, or phosphate of the particular metal. The source of tungstate can be, for example, the sodium or potassium salt.

An etchant may be added to the phosphate conversion treatment bath in order to induce a uniform etch of the surface of the metal workpiece. Usable as this etchant are, for example, fluoride ions and complex fluoride ions such as fluorosilicate ions. The fluorine compound used here can be, for example, hydrofluoric acid, fluorosilicic acid, or a water soluble metal salt (e.g., sodium salt, potassium salt) of the preceding.

The phosphate conversion treatment can be carried out by immersion or spraying or some combination thereof. Treatment for about 1 to 5 minutes can form a conversion coating satisfactorily robust for practical applications. The temperature of the phosphate conversion treatment bath is preferably from 30 to 60 °C.

The phosphate conversion treatment is preferably followed by at least one water rinse, and deionized water is preferably used in the final water rinse.

Working and comparative examples of actual treatments are provided below in

order to demonstrate the advantageous effects of this invention in specific terms. The working examples that follow are simply examples of the application of the invention and in no way limit the applications of the invention or materials usable in the application of the invention.

5 **Materials tested**

The following metal substrates were treated in the working and comparative examples: electrogalvanized steel sheet ("EG"), sheet thickness = 0.8 millimeters (hereinafter usually abbreviated as "mm"), plating add-on = 20 grams of plated zinc per square meter of sheet surface, this unit of coating weight being hereinafter freely used for any 10 coating on any surface and being hereinafter usually abbreviated as "g/m²"; galvannealed hot-dip galvanized steel sheet ("GA"), sheet thickness = 0.8 mm, coating add-on = 45 g/m²; and cold-rolled steel sheet ("CRS"), sheet thickness = 0.8 mm, type SPCC-SD.

Treatment operations sequence (common to the working and comparative examples; as noted in the description of the testing below, not all of the specimens tested were 15 subjected to the operations numbered 8 or higher)

(1) Degreasing with diluted FINECLEANER® L4460 alkaline degreaser concentrate, a product of Nihon Parkerizing Co., Ltd., the working degreaser containing 20 g/l of agent A and 12 g/l of agent B, 43 °C, 120 seconds, dipping.

(2) Water rinse with tapwater: ambient temperature, 30 seconds, spray.

20 (3) Surface conditioning

The conditions are described below in the tables for the working and comparative examples. The colloidal titanium surface conditioning treatments were run using PREPALENE® ZN, a product of Nihon Parkerizing Co., Ltd.

(4) Phosphate conversion treatment

25 The conditions are described below in the tables for the working and comparative examples. The treatment time was 120 seconds in all cases.

(5) Water rinse (tapwater): ambient temperature, 30 seconds, spray

(6) Deionized water rinse (deionized water with an electrical conductivity ≤ 0.2 microSiemens per centimeter): ambient temperature, 20 seconds, spray

30 (7) Drain/dry: 120 seconds, forced hot air at 90 °C

(8) Cationic electrocoating to a film thickness of about 20 µm, then bake for 20 minutes at 180 °C

(9) Surface coating with a film thickness of about 40 µm baked for 20 minutes at 140 °C

35 (10) Top coating with a film thickness of about 40 µm baked for 20 minutes at 140 °C.

Test and other evaluation methods

The coating appearance was evaluated on the following two-level scale (after operation (7) as described above:

- + : the coating was uniform;
- × : the coating exhibited a significant lack of uniformity with visible voids.

5 The test conditions and evaluation scale for the secondary (water-resistant) adherence were as follows: The sheet after operation (10) as described above was immersed for 240 hours in a hot water bath (maintained at 40 °C) that was being bubbled with air. The sheet was allowed to stand for 2 hours after removal from the hot water bath, after which time the peeling behavior was evaluated by cutting a grid (2 mm on each edge) in the sheet and subjecting this to tape peeling. The peeling behavior was evaluated using the following three-level scale:

- ++ : complete absence of peeling;
- + : some peeling observed at the edges of the grid cut;
- × : substantial peeling.

10 15 The test conditions and evaluation scale for the hot saltwater immersion test were as follows. A cross cut was scribed with an acrylic cutter in the sheet after operation (8) as described above, and the specimen thus prepared was immersed for 240 hours in a 5 % by weight solution of sodium chloride in water that was maintained at 55 °C and was bubbled with air. The specimen was allowed to stand for 1 hour after withdrawal from the saltwater bath, after which time the cross cut was peeled with tape and the width of peeling from the cut was evaluated. The peeling behavior was evaluated using the following three-level scale:

For the CRS:

- ++ : maximum peel width (both sides) less than 4 mm;
- + : maximum peel width (both sides) at least 4 mm but less than 6 mm;
- × : maximum peel width (both sides) at least 6 mm.

20 For the EG and GA:

- ++ : maximum peel width (one side) less than 3 mm;
- + : maximum peel width (one side) at least 3 mm but less than 5 mm;
- × : maximum peel width (one side) at least 5 mm.

25 30 The test conditions and evaluation scale for salt spray testing were as follows: A cross cut was scribed with an acrylic cutter in the sheet after operation (8) as described above, and the specimen thus prepared was tested using a salt spray tester (5 % by weight solution of sodium chloride in water) maintained at 35 °C. After the stipulated time (based on Japanese Industrial Standard Z-2371), the specimen was rinsed with water and the status of corrosion at the cross cut was evaluated using the

following three-level scale:

For the CRS (salt spray test time = 960 hours):

+ + : maximum rust width (both sides) less than 4 mm;
+ : maximum rust width (both sides) at least 4 mm but less than 5 mm;
5 x : maximum rust width (both sides) at least 5 mm.

For the EG and GA (salt spray test time = 480 hours):

+ + : maximum rust width (one side) less than 4 mm;
+ : maximum rust width (one side) at least 4 mm but less than 5 mm;
x : maximum rust width (one side) at least 5 mm.

10 Details of the surface conditioning processes and phosphate treatment processes for the Examples and Comparative Examples and the corresponding test results are reported in the following tables, in which the following abbreviations are used:

for the phosphate salt component:

Zn₂FeP2 = Zn₂Fe(PO₄)₂•4H₂O
15 Zn3P2 = Zn₃(PO₄)₂•4H₂O
Zn2CaP2 = Zn₂Ca(PO₄)₂•4H₂O

for the surfactant component:

EO11NPE = polyoxyethylene (EO : 11) nonylphenol ether

for the phosphorus compounds:

20 ATMPA = aminotrimethylenephosphonic acid
1-HEDPA = 1-hydroxyethylidene-1,1-diphosphonic acid
2-HEDPA = 2-hydroxyethylidene-1,1-diphosphonic acid
EDATMPA = ethylenediaminetetramethylenephosphonic acid.

other:

25 Deg. = Degree
Polym. = Polymerization
Ex. = Example
Comp. Ex. = Comparative Example
VA = vinyl acetate
30 PVAlc = polyvinylalcohol
Wt% = Percent by weight.

Table 1: EXAMPLES 1 TO 5

		Example Number:				
		1	2	3	4	5
Surface Conditioning Treatment Composition Constituents and Process Conditions:	PREPALENE®-ZN, g/l	none	none	none	none	none
	Phosphate Particles:	Abbreviation	Zn2FeP2	Zn2FeP2	Zn2FeP2	Zn2FeP2
	Phosphate Particles:	Particle size, μm	0.5	0.5	0.5	0.5
	Phosphate Particles:	Concentration, g/l	1	1	1	1
	Saccharide-Based Constituents:	Monosaccharide Unit	glucose	glucose	glucose	glucose
		Substituent(s)	CH_2COOH NO_2	CH_2COOH NO_2	CH_2COOH	none
		Deg. of Substitution	≤ 1.8	≤ 1.8	0.7	none
		Deg. of Polym.	$\leq 3,000$	$\leq 3,000$	≤ 100	1
		Concentration, ppm	5	1,000	10	2,000
	Salt constituent(s):	Chemical Formula	none	none	NaNO_2	$\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$
		Concentration, g/l	none	none	0.5	0.5
	Surfactant Constituents:	Abbreviation	none	none	none	none
		Concentration, g/l	none	none	none	none
Phosphate Conversion Treatment Composition Constituents and Process Conditions:	Treatment Temperature, °C		20	20	20	20
	Treatment Time, Seconds		30	30	30	30
	Grams per Liter of:	PO_4^{3-}	10	15	20	18
		Zn^{2+}	0.8	1.3	2.2	1.5
		Mg^{2+}	2.0	none	none	none
		Co^{2+}	none	1.0	none	none
		Mn^{2+}	0.5	none	1.0	none
		Ca^{2+}	none	none	none	1.5
		Sr^{2+}	none	none	none	none
		WO_4^{2-}	none	none	0.3	none
		NO_3^-	8.3	7.6	9.0	8.0
		F	0.1	none	0.1	none
		NO_2^-	0.01	none	0.01	none
	NH_4OH		none	1.5	none	3.0
	Treatment Temperature, °C		40	45	50	35
	Treatment Time, Seconds		120	120	120	120

Table 2: EXAMPLES 6 TO 10

		Example Number:				
		6	7	8	9	10
Surface Conditioning Treatment Composition Constituents and Process Conditions:	PREPALENE®-ZN, g/l	none	none	none	none	none
	Phosphate Particles:	Abbreviation	Zn2FeP2	Zn3P2	Zn3P2	Zn2CaP2
	Phosphate Particles:	Particle size, μm	0.5	0.6	1.2	0.4
	Phosphate Particles:	Concentration, g/l	1	1	1	10
	Saccharide-Based Constituents:	Monosaccharide Unit	glucose xylose galactose	glucose	glucose	glucose fructose
	Saccharide-Based Constituents:	Substituent(s)	none	CH ₂ COOH	CH ₂ COOH CH ₃	CH ₂ COOH
	Saccharide-Based Constituents:	Deg. of Substitution	0	≥ 2	1.9	1.0
	Saccharide-Based Constituents:	Deg. of Polym.	≤ 500	≤ 200	$\leq 1,000$	$\leq 2,000$
	Saccharide-Based Constituents:	Concentration, ppm	100	100	1	10
	Salt constituent(s):	Chemical Formula	none	none	Na ₂ O•SiO ₂ •5H ₂ O	Na ₂ CO ₃
Phosphate Conversion Treatment Composition Constituents and Process Conditions:	Salt constituent(s):	Concentration, g/l	none	none	5	1
	Surfactant Constituents:	Abbreviation	none	none	none	none
	Surfactant Constituents:	Concentration, g/l	none	none	none	2.0
	Treatment Temperature, °C		20	20	20	40
	Treatment Time, Seconds		30	30	30	120
	Grams per Liter of:	PO ₄ ³⁻	11	15	22	18
		Zn ²⁺	0.9	1.3	2.0	1.5
		Mg ²⁺	2.0	none	none	2.5
		Co ²⁺	none	none	none	none
		Mn ²⁺	0.6	none	1.0	none
		Ca ²⁺	none	none	none	1.0
		Sr ²⁺	none	none	none	0.9
		WO ₄ ⁻²	none	none	0.3	none
		NO ₃ ⁻	8.9	7.6	9.0	8.0
		F ⁻	0.1	none	0.1	none
		NO ₂ ⁻	0.01	none	0.01	none
	NH ₄ OH		none	1.5	none	3.0
	Treatment Temperature, °C		38	43	49	55
	Treatment Time, Seconds		120	120	120	120

Table 3: COMPARATIVE EXAMPLES 1 TO 5

		Comparative Example Number:				
		1	2	3	4	5
Surface Conditioning Treatment Composition Constituents and Process Conditions:	PREPALENE®-ZN, g/l	none	none	none	none	none
	Phosphate Particles:	Abbreviation	Zn2FeP2	Zn3P2	Zn3P2	Zn3P2
	Phosphate Particles:	Particle size, μm	0.5	0.6	1.2	0.5
	Phosphate Particles:	Concentration, g/l	1	1	1	1
	Saccharide-Based Constituents:	Monosaccharide Unit	glucose xylose galactose	glucose	glucose	glucose fructose
	Saccharide-Based Constituents:	Substituent(s)	none	CH ₂ COOH	CH ₂ COOH CH ₃	none
	Saccharide-Based Constituents:	Deg. of Substitution	0	≥ 2	1.9	none
	Saccharide-Based Constituents:	Deg. of Polym.	≤ 500	≤ 200	$\leq 1,000$	1
	Saccharide-Based Constituents:	Concentration, ppm	100	100	1	2000
	Salt constituent(s):	Chemical Formula	none	none	Na ₂ O•SiO ₂ •5H ₂ O	MgSO ₄ •7H ₂ O
Phosphate Conversion Treatment Composition Constituents and Process Conditions:	Salt constituent(s):	Concentration, g/l	none	none	5	0.5
	Surfactant Constituents:	Abbreviation	none	none	none	none
	Surfactant Constituents:	Concentration, g/l	none	none	none	none
	Treatment Temperature, °C		20	20	20	20
	Treatment Time, Seconds		30	30	30	30
	Grams per Liter of:	PO ₄ ³⁻	11	15	1.0	18
		Zn ²⁺	0.1	7.0	2.0	1.5
		Mg ²⁺	2.0	none	none	2.5
		Co ²⁺	none	none	none	none
		Mn ²⁺	0.6	none	1.0	1.0
		Ca ²⁺	none	none	none	none
		Sr ²⁺	none	none	none	3.0
		WO ₄ ²⁻	none	none	0.3	none
		NO ₃ ⁻	8.9	7.6	9.0	8.0
		F ⁻	0.1	none	0.1	none
		NO ₂ ⁻	0.01	none	0.01	none
	NH ₄ OH		none	1.5	none	3.0
	Treatment Temperature, °C		38	43	49	55
	Treatment Time, Seconds		120	120	120	120

Table 4: COMPARATIVE EXAMPLES 6 TO 10

		Comparative Example Number:				
		6	7	8	9	10
Surface Conditioning Treatment Composition Constituents and Process Conditions:	PREPALENE®-ZN, g/l	1	none	none	none	none
	Phosphate Particles:	Abbreviation	none	Zn3P2	Zn3P2	Zn2CaP2
		Particle size, μm	none	0.6	6.5	0.4
		Concentration, g/l	none	1	1	10
	Saccharide-Based Constituents:	Monosaccharide Unit	none	none	glucose	glucose
		Substituent(s)	none	none	CH_2COOH CH_3	CH_2COOH
		Deg. of Substitution	none	none	1.9	1.0
		Deg. of Polym.	none	none	$\leq 1,000$	$\leq 2,000$
		Concentration, ppm	none	none	1	5,000
	Salt constituent(s):	Chemical Formula	none	none	$\text{Na}_2\text{O} \cdot \text{SiO}_2 \cdot 5\text{H}_2\text{O}$	Na_2CO_3
Phosphate Conversion Treatment Composition Constituents and Process Conditions:		Concentration, g/l	none	none	5	1
	Surfactant Constituents:	Abbreviation	none	none	none	none
		Concentration, g/l	none	none	none	none
		Treatment Temperature, °C	20	20	20	20
		Treatment Time, Seconds	30	30	30	30
	Grams per Liter of:	PO_4^{3-}	11	15	22	18
		Zn^{2+}	0.9	1.3	2.0	1.5
		Mg^{2+}	2.0	none	none	2.5
		Co^{2+}	none	none	none	none
		Mn^{2+}	0.6	none	1.0	none
		Ca^{2+}	none	none	none	1.0
		Sr^{2+}	none	none	none	none
		WO_4^{-2}	none	none	0.3	none
		NO_3^-	8.9	7.6	9.0	8.0
		F^-	0.1	none	0.1	none
		NO_2^-	0.01	none	0.01	none
		NH_4OH	none	1.5	none	3.0
		Treatment Temperature, °C	40	45	50	39
		Treatment Time, Seconds	120	120	120	120

Table 5: APPEARANCE OF THE CONVERSION COATING AND RESULTS OF PAINTING
PERFORMANCE TESTING FOR EXAMPLES 1 THROUGH 10

TEST OR OTHER RATING	SUB- STRATE TESTED	EXAMPLE NUMBER									
		1	2	3	4	5	6	7	8	9	10
Coating Appearance	CRS	+	+	+	+	+	+	+	+	+	+
	EG	+	+	+	+	+	+	+	+	+	+
	GA	+	+	+	+	+	+	+	+	+	+
Secondary (Water-Resistant) Adherence	CRS	++	++	++	++	++	++	++	++	++	++
	EG	++	++	+	++	++	++	++	+	++	++
	GA	++	++	+	++	++	++	++	+	++	++
Resistance to Hot Salt Water	CRS	++	++	++	++	+	++	+	++	++	+
	EG	++	++	++	++	++	++	+	++	++	++
	GA	++	++	++	++	++	++	+	++	++	++
Resistance to Salt Spray	CRS	+	++	++	+	+	+	+	+	++	+
	EG	++	+	++	+	++	++	+	+	++	++
	GA	++	+	++	+	++	++	+	+	++	++

Table 6: APPEARANCE OF THE CONVERSION COATING AND RESULTS OF PAINTING
PERFORMANCE TESTING FOR COMPARISON EXAMPLES 1 THROUGH 10

TEST OR OTHER RATING	SUB- STRATE TESTED	COMPARISON EXAMPLE NUMBER									
		1	2	3	4	5	6	7	8	9	10
Coating Appearance	CRS	x	+	x	x	x	+	x	x	x	x
	EG	x	+	x	x	x	+	x	x	x	+
	GA	x	+	x	x	x	+	x	x	x	+
Secondary (Water-Resistant) Adherence	CRS	++	+	++	++	++	+	++	++	++	++
	EG	x	x	x	x	x	x	x	x	x	x
	GA	x	x	x	x	x	x	x	x	x	x
Resistance to Hot Salt Water	CRS	x	++	x	x	x	++	x	x	x	x
	EG	x	+	x	x	x	+	x	x	x	+
	GA	x	+	x	x	x	+	x	x	x	+
Resistance to Salt Spray	CRS	x	x	x	x	x	x	x	x	x	x
	EG	x	+	x	x	x	+	x	x	x	x
	GA	x	x	x	x	x	x	x	x	x	x

Table 7: EXAMPLES 11 TO 15

		Example Number:					
		11	12	13	14	15	
Surface Conditioning Treatment Composition Constituents and Process Conditions:	PREPALENE®-ZN, g/l	none	none	none	none	none	
	Phosphate Particles:	Abbreviation	Zn2FeP2	Zn3P2	Zn3P2	Zn2CaP2	
		Particle size, μm	0.5	0.5	1.7	0.6	
		Concentration, g/l	5	1	1	5	
	Phosphorus Containing Solute:	Substance	tripoly-phosphoric acid	hexameta-phosphoric acid	ATMPA	1-HEDPA	
		Concentration, ppm	1	100	500	50	
	Salt constituent(s):	Chemical Formula	MgSO ₄ •7H ₂ O	Na ₂ O•SiO ₂ •5H ₂ O	none	Na ₂ CO ₃	
		Concentration, g/l	0.5	1	none	5	
	Surfactant Constituents:	Abbreviation	none	none	none	EO11NPE	
		Concentration, g/l	none	none	none	2.0	
Treatment Temperature, °C		20	20	20	20	40	
Treatment Time, Seconds		30	30	30	30	120	
Phosphate Conversion Treatment Composition Constituents and Process Conditions:	Grams per Liter of:	PO ₄ ³⁻	10	15	20	18	
		Zn ²⁺	0.8	1.3	2.2	1.5	
		Mg ²⁺	2.0	none	none	2.5	
		Co ²⁺	none	1.0	none	none	
		Mn ²⁺	0.5	none	1.0	none	
		Ca ²⁺	none	none	none	1.5	
		Sr ²⁺	none	none	none	none	
		WO ₄ ²⁻	none	none	0.3	none	
		NO ₃ ⁻	8.3	7.6	9.0	8.0	
		F ⁻	0.1	none	0.1	none	
		NO ₂ ⁻	0.01	none	0.01	none	
	NH ₄ OH	none	1.5	none	3.0	none	
Treatment Temperature, °C		40	45	50	39	43	
Treatment Time, Seconds		120	120	120	120	120	

Table 8: COMPARATIVE EXAMPLES 11 TO 15

		Comparative Example Number:				
		11	12	13	14	15
Surface Conditioning Treatment Composition Constituents and Process Conditions:	PREPALENE®-ZN, g/l	none	none	none	none	none
	Phosphate Particles:	Abbreviation	Zn2FeP2	Zn3P2	Zn3P2	Zn2CaP2 Zn2FeP2
	Phosphate Particles:	Particle size, μm	0.5	0.5	1.7	0.6 0.5
	Phosphate Particles:	Concentration, g/l	5	1	1	5 10
	Phosphorus Containing Solute:	Substance	tripoly-phosphoric acid	hexameta-phosphoric acid	ATMPA	2-HEDPA EDATMPA
	Phosphorus Containing Solute:	Concentration, ppm	1	100	500	50 1,000
	Salt constituent(s):	Chemical Formula	MgSO ₄ • 7H ₂ O	NaOH	none	Na ₂ CO ₃ Na ₃ PO ₄ • 12 H ₂ O
	Salt constituent(s):	Concentration, g/l	0.5	1	none	5 10
	Surfactant Constituents:	Abbreviation	none	none	none	none EO11NPE
	Surfactant Constituents:	Concentration, g/l	none	none	none	none 2.0
Treatment Temperature, °C		20	20	20	20	40
Treatment Time, Seconds		30	30	30	30	120
Phosphate Conversion Treatment Composition Constituents and Process Conditions:	Grams per Liter of:	PO ₄ ³⁻	11	15	1.0	18 16
		Zn ²⁺	0.1	7.0	2.0	1.5 1.4
		Mg ²⁺	2.0	none	none	none 2.5
		Co ²⁺	none	none	none	none
		Mn ²⁺	0.6	none	1.0	1.0 none
		Ca ²⁺	none	none	none	none
		Sr ²⁺	none	none	none	3.0 0.9
		WO ₄ ⁻²	none	none	0.3	none none
		NO ₃ ⁻	8.9	7.6	9.0	8.0 7.3
		F ⁻	0.1	none	0.1	none 0.1
		NO ₂ ⁻	0.01	none	0.01	none none
		NH ₄ OH	none	1.5	none	3.0 3.5
	Treatment Temperature, °C		40	45	50	39 20
	Treatment Time, Seconds		120	120	120	120 120

Table 9: COMPARATIVE EXAMPLES 16 TO 20

		Comparative Example Number:				
		16	17	18	19	20
Surface Conditioning Treatment Composition Constituents and Process Conditions:	PREPALENE®-ZN, g/l	1	none	none	none	none
	Phosphate Particles	Abbreviation	none	Zn3P2	Zn3P2	Zn2CaP2
		Particle size, μm	none	0.5	6.5	0.6
		Concentration, g/l	none	1	1	5
	Phosphorus Containing Solute	Substance	none	none	ATMPA	hexametaphosphoric acid
		Concentration, ppm	none	none	500	3,000
	Salt constituent(s)	Chemical Formula	$\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$	none	none	Na_2CO_3
		Concentration, g/l	0.5	none	none	5
	Surfactant Constituents	Abbreviation	none	none	none	EO11NPE
		Concentration, g/l	none	none	none	2.0
Phosphate Conversion Treatment Composition Constituents and Process Conditions:	Treatment Temperature, °C		20	20	20	20
	Treatment Time, Seconds		30	30	30	120
	Grams per Liter of:	PO_4^{3-}	11	15	22	18
		Zn^{2+}	0.9	1.3	2.0	1.5
		Mg^{2+}	2.0	none	none	none
		Co^{2+}	none	none	none	none
		Mn^{2+}	0.6	none	1.0	none
		Ca^{2+}	none	none	none	1.0
		Sr^{2+}	none	none	none	none
		WO_4^{2-}	none	none	0.3	none
		NO_3^-	8.9	7.6	9.0	8.0
		F ⁻	0.1	none	0.1	none
		NO_2^-	0.01	none	0.01	none
	NH_4OH		none	1.5	none	3.0
	Treatment Temperature, °C		40	45	50	39
	Treatment Time, Seconds		120	120	120	120

Table 10: APPEARANCE OF THE CONVERSION COATING AND RESULTS OF PAINTING PERFORMANCE TESTING FOR EXAMPLES 11 THROUGH 15

TEST OR OTHER RATING	SUBSTRATE TESTED	EXAMPLE NUMBER				
		11	12	13	14	15
Coating Appearance	CRS	+	+	+	+	+
	EG	+	+	+	+	+
	GA	+	+	+	+	+
Secondary (Water-Resistant) Adherence	CRS	++	++	++	++	++
	EG	++	++	+	++	++
	GA	++	++	+	++	++
Resistance to Hot Salt Water	CRS	++	++	++	++	+
	EG	++	++	++	++	++
	GA	++	++	++	++	++
Resistance to Salt Spray	CRS	+	++	++	+	+
	EG	++	+	++	+	++
	GA	++	+	++	+	++

Table 11: APPEARANCE OF THE CONVERSION COATING AND RESULTS OF PAINTING PERFORMANCE TESTING FOR COMPARISON EXAMPLES 11 THROUGH 20

TEST OR OTHER RATING	SUBSTRATE TESTED	COMPARISON EXAMPLE NUMBER									
		11	12	13	14	15	16	17	18	19	20
Coating Appearance	CRS	x	+	x	x	x	+	x	x	x	x
	EG	x	+	x	x	x	+	x	x	x	+
	GA	x	+	x	x	x	+	x	x	x	+
Secondary (Water-Resistant) Adherence	CRS	++	+	++	++	++	+	++	++	++	+
	EG	x	x	x	x	x	x	x	x	x	x
	GA	x	x	x	x	x	x	x	x	x	x
Resistance to Hot Salt Water	CRS	x	++	x	x	x	++	x	x	x	x
	EG	x	+	x	x	x	+	x	x	x	+
	GA	x	+	x	x	x	+	x	x	x	+
Resistance to Salt Spray	CRS	x	x	x	x	x	x	x	x	x	x
	EG	x	+	x	x	x	+	x	x	x	x
	GA	x	x	x	x	x	x	x	x	x	x

Table 12: EXAMPLES 16 TO 20

		Example Number:				
		16	17	18	19	20
Surface Conditioning Treatment Composition Constituents and Process Conditions:	PREPALENE®-ZN, g/l	none	none	none	none	none
	Phosphate Particles	Abbreviation	Zn2FeP2	Zn3P2	Zn3P2	Zn2CaP2
	Phosphate Particles	Particle size, μm	0.5	1.5	0.5	1.6
	Phosphate Particles	Concentration, g/l	5	8	1	5
	Water Soluble VA Polymer or Derivative	Substance Name	polyvinyl alcohol	carboxyl-modified PVAlc	sulfonic acid-modified PVAlc	Copolymer with VA
	Water Soluble VA Polymer or Derivative	Comonomer with VA	none	none	none	maleic acid
	Water Soluble VA Polymer or Derivative	Comonomer % by Weight	none	none	none	80
	Water Soluble VA Polymer or Derivative	Concentration, ppm	1	500	2,000	1,000
	Salt constituent(s)	Chemical Formula	MgSO ₄ • 7H ₂ O	Na ₂ O • SiO ₂ • 5H ₂ O	none	Na ₂ CO ₃
	Salt constituent(s)	Concentration, g/l	0.5	1	none	5
Phosphate Conversion Treatment Composition Constituents and Process Conditions:	Surfactant Constituents	Abbreviation	none	none	none	EO11NPE
	Surfactant Constituents	Concentration, g/l	none	none	none	2.0
	Treatment Temperature, °C		20	20	20	20
	Treatment Time, Seconds		30	30	30	120
	Grams per Liter of:	PO ₄ ³⁻	10	15	20	18
		Zn ²⁺	0.8	1.3	2.2	1.5
		Mg ²⁺	2.0	none	none	2.5
		Co ²⁺	none	1.0	none	none
		Mn ²⁺	0.5	none	1.0	none
		Ca ²⁺	none	none	none	1.5
		Sr ²⁺	none	none	none	0.9
		WO ₄ ⁻²	none	none	0.3	none
		NO ₃ ⁻	8.3	7.6	9.0	8.0
		F ⁻	0.1	none	0.1	none
		NO ₂ ⁻	0.01	none	0.01	0.01
	NH ₄ OH		none	1.5	none	3.0
	Treatment Temperature, °C		40	45	50	39
	Treatment Time, Seconds		120	120	120	120

Table 13: COMPARATIVE EXAMPLES 21 TO 25

		Comparative Example Number:				
		21	22	23	24	25
Surface Conditioning Treatment Composition Constituents and Process Conditions:	PREPALENE®-ZN, g/l	none	none	none	none	none
	Phosphate Particles:	Abbreviation	Zn2FeP2	Zn3P2	Zn3P2	Zn2CaP2
	Phosphate Particles:	Particle size, μm	0.5	0.5	0.5	1.6
	Phosphate Particles:	Concentration, g/l	5	1	1	5
	Water Soluble VA Polymer or Derivative:	Substance Name	polyvinyl-alcohol	carboxyl-modified PVAlc	sulfonic acid-modified PVAlc	Copolymer with VA
	Water Soluble VA Polymer or Derivative:	Comonomer with VA	none	none	none	maleic acid
	Water Soluble VA Polymer or Derivative:	Comonomer % by Weight	none	none	none	80
	Water Soluble VA Polymer or Derivative:	Concentration, ppm	1	500	2,000	1,000
	Salt constituent(s):	Chemical Formula	$\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$	$\text{Na}_2\text{O} \cdot \text{SiO}_2 \cdot 5\text{H}_2\text{O}$	none	Na_2CO_3
	Salt constituent(s):	Concentration, g/l	0.5	1	none	5
Phosphate Conversion Treatment Composition Constituents and Process Conditions:	Surfactant Constituents:	Abbreviation	none	none	none	EO11NPE
	Surfactant Constituents:	Concentration, g/l	none	none	none	2.0
	Treatment Temperature, °C		20	20	20	20
	Treatment Time, Seconds		30	30	30	120
	Grams per Liter of:	PO_4^{3-}	11	15	1.0	18
		Zn^{2+}	0.1	7.0	2.0	1.5
		Mg^{2+}	2.0	none	none	2.5
		Co^{2+}	none	none	none	none
		Mn^{2+}	0.6	none	1.0	1.0
		Ca^{2+}	none	none	none	none
		Sr^{2+}	none	none	none	3.0
		WO_4^{2-}	none	none	0.3	none
		NO_3^-	8.9	7.6	9.0	8.0
		F^-	0.1	none	0.1	none
		NO_2^-	0.01	none	0.01	none
	NH_4OH		none	1.5	none	3.0
	Treatment Temperature, °C		40	45	50	39
	Treatment Time, Seconds		120	120	120	120

Table 14: COMPARATIVE EXAMPLES 26 TO 30

		Comparative Example Number:				
		26	27	28	29	30
Surface Conditioning Treatment Composition Constituents and Process Conditions:	PREPALENE®-ZN, g/l	1	none	none	none	none
	Phosphate Particles:	Abbreviation	none	Zn2FeP2	Zn3P2	Zn2CaP2
	Water Soluble VA Polymer or Derivative:	Particle size, μm	none	1.7	6.5	1.6
		Concentration, g/l	none	7	1	5
		Substance Name	polyvinyl alcohol	none	sulfonic acid-modified PVAlc	Copolymer with VA
	Comonomer with VA	Comonomer with VA	none	none	maleic acid	crotonic acid
		Comonomer % by Weight	none	none	none	80
		Concentration, ppm	1	none	2,000	3,000
	Salt constituent(s):	Chemical Formula	none	$\text{Na}_2\text{O}\cdot\text{SiO}_2\cdot5\text{H}_2\text{O}$	none	$\text{Na}_2\text{CO}_3\cdot\text{Na}_3\text{PO}_4\cdot12\text{H}_2\text{O}$
		Concentration, g/l	none	1	none	5
Surfactant Constituents:	Abbreviation	none	none	none	none	EO11NPE
	Concentration, g/l	none	none	none	none	2.0
	Treatment Temperature, °C	20	20	20	20	40
	Treatment Time, Seconds	30	30	30	30	120
Phosphate Conversion Treatment Composition Constituents and Process Conditions:	Grams per Liter of:	PO_4^{3-}	10	15	20	18
		Zn^{2+}	0.8	1.3	2.2	1.5
		Mg^{2+}	2.0	none	none	2.5
		Co^{2+}	none	1.0	none	none
		Mn^{2+}	0.5	none	1.0	none
		Ca^{2+}	none	none	none	1.5
		Sr^{2+}	none	none	none	0.9
		WO_4^{2-}	none	none	0.3	none
		NO_3^-	8.3	7.6	9.0	8.0
		F ⁻	0.1	none	0.1	none
		NO_2^-	0.01	none	0.01	0.01
		NH_4OH	none	1.5	none	3.0
	Treatment Temperature, °C	40	45	50	39	43
	Treatment Time, Seconds	120	120	120	120	120

Table 15: APPEARANCE OF THE CONVERSION COATING AND RESULTS OF PAINTING
PERFORMANCE TESTING FOR EXAMPLES 16 THROUGH 20

TEST OR OTHER RATING	SUBSTRATE TESTED	EXAMPLE NUMBER				
		16	17	18	19	20
Coating Appearance	CRS	+	+	+	+	+
	EG	+	+	+	+	+
	GA	+	+	+	+	+
Secondary (Water-Resistant) Adherence	CRS	++	++	++	++	++
	EG	++	++	+	++	++
	GA	++	++	+	++	++
Resistance to Hot Salt Water	CRS	++	++	++	++	+
	EG	++	++	++	++	++
	GA	++	++	++	++	++
Resistance to Salt Spray	CRS	+	++	++	+	+
	EG	++	+	++	+	++
	GA	++	+	++	+	++

Table 16: APPEARANCE OF THE CONVERSION COATING AND RESULTS OF PAINTING
PERFORMANCE TESTING FOR COMPARISON EXAMPLES 21 THROUGH 30

TEST OR OTHER RATING	SUBSTRATE TESTED	COMPARISON EXAMPLE NUMBER									
		21	22	23	24	25	26	27	28	29	30
Coating Appearance	CRS	x	+	x	x	x	+	x	x	x	x
	EG	x	+	x	x	x	+	x	x	x	+
	GA	x	+	x	x	x	+	x	x	x	+
Secondary (Water-Resistant) Adherence	CRS	++	+	++	++	++	+	++	++	++	+
	EG	x	x	x	x	x	x	x	x	x	x
	GA	x	x	x	x	x	x	x	x	x	x
Resistance to Hot Salt Water	CRS	x	++	x	x	x	++	x	x	x	x
	EG	x	+	x	x	x	+	x	x	x	+
	GA	x	+	x	x	x	+	x	x	x	+
Resistance to Salt Spray	CRS	x	x	x	x	x	x	x	x	x	x
	EG	x	+	x	x	x	+	x	x	x	x
	GA	x	x	x	x	x	x	x	x	x	x

Table 17: EXAMPLES 21 TO 25 with Type (4) Polymer Adhesion Promoting Agents

		Example Number:				
		21	22	23	24	25
Surface Conditioning Treatment Composition Constituents and Process Conditions:	PREPALENE®-ZN, g/l	none	none	none	none	none
	Phosphate Particles	Abbreviation	Zn2FeP2	Zn3P2	Zn3P2	Zn2CaP2
		Particle size, μm	0.5	0.5	1.7	0.6
		Concentration, g/l	5	1	1	5
	Monomer with Formula (I)	R ¹	H	none	none	CH ₃
		R ²	C ₂ H ₄ OH	none	none	C ₃ H ₇ OH
		Wt% in Polymer	100	none	none	20
	Other Unsaturated Acid Monomer	Monomer Name	none	maleic acid	acrylic acid	maleic acid
		Wt% in Polymer	none	80	100	80
	Other Comonomer	Monomer Name	none	vinyl acetate	none	styrenesulfonic acid
		Wt% in Polymer	none	20	none	50
	Polymer Concentration, ppm		1	500	2,000	1,500
	Salt constituent(s)	Chemical Formula	MgSO ₄ • 7H ₂ O	Na ₂ O • SiO ₂ • 5H ₂ O	none	KOH
		Concentration, g/l	0.5	1	none	Na ₃ PO ₄ • 12H ₂ O
Surfactant Constituents	Abbreviation	none	none	none	none	EO11NPE
	Concentration, g/l	none	none	none	none	2.0
Treatment Temperature, °C		20	20	20	20	40
Treatment Time, Seconds		30	30	30	30	120
Phosphate Conversion Treatment Composition Constituents and Process Conditions:	Grams per Liter of:	PO ₄ ³⁻	10	15	20	18
		Zn ²⁺	0.8	1.3	2.2	1.5
		Mg ²⁺	2.0	none	none	2.5
		Co ²⁺	none	1.0	none	none
		Mn ²⁺	0.5	none	1.0	none
		Ca ²⁺	none	none	none	1.5
		Sr ²⁺	none	none	none	none
		WO ₄ ⁻²	none	none	0.3	none
		NO ₃ ⁻	8.3	7.6	9.0	8.0
		F ⁻	0.1	none	0.1	none
		NO ₂ ⁻	0.01	none	0.01	none
		NH ₄ OH	none	1.5	none	3.0
	Treatment Temperature, °C	40	45	50	39	43
	Treatment Time, Seconds	120	120	120	120	120

Table 18: COMPARATIVE EXAMPLES 31 TO 35

		Comparative Example Number:				
		31	32	33	34	35
Surface Conditioning Treatment Composition Constituents and Process Conditions:	PREPALENE®-ZN, g/l	none	none	none	none	none
	Phosphate Particles	Abbreviation	Zn2FeP2	Zn3P2	Zn3P2	Zn2CaP2
		Particle size, μm	0.5	0.5	1.7	0.6
		Concentration, g/l	5	1	1	5
	Monomer with Formula (I)	R ¹	H	none	none	CH ₃
		R ²	C ₂ H ₄ OH	none	none	C ₃ H ₇ OH
		Wt% in Polymer	100	none	none	20
	Other Unsaturated Acid Monomer	Monomer Name	none	maleic acid	acrylic acid	maleic acid
		Wt% in Polymer	none	80	100	80
	Other Comonomer	Monomer Name	none	vinyl acetate	none	styrenesulfonic acid
		Wt% in Polymer	none	20	none	none
	Polymer Concentration, ppm		1	500	2,000	1,500
	Salt constituent(s)	Chemical Formula	MgSO ₄ • 7H ₂ O	Na ₂ O • SiO ₂ • 5H ₂ O	none	Na ₂ CO ₃
		Concentration, g/l	0.5	1	none	5
Surfactant Constituents	Abbreviation	none	none	none	none	EO11NPE
	Concentration, g/l	none	none	none	none	2.0
Treatment Temperature, °C		20	20	20	20	40
Treatment Time, Seconds		30	30	30	30	120
Phosphate Conversion Treatment Composition Constituents and Process Conditions:	Grams per Liter of:	PO ₄ ³⁻	11	15	1.0	18
		Zn ²⁺	0.1	7.0	2.0	1.5
		Mg ²⁺	2.0	none	none	2.5
		Co ²⁺	none	none	none	none
		Mn ²⁺	0.6	none	1.0	1.0
		Ca ²⁺	none	none	none	none
		Sr ²⁺	none	none	none	3.0
		WO ₄ ²⁻	none	none	0.3	none
		NO ₃ ⁻	8.9	7.6	9.0	8.0
		F ⁻	0.1	none	0.1	none
		NO ₂ ⁻	0.01	none	0.01	none
		NH ₄ OH	none	1.5	none	3.0
	Treatment Temperature, °C	40	45	50	39	20
	Treatment Time, Seconds	120	120	120	120	120

Table 19: COMPARATIVE EXAMPLES 36 TO 40

		Comparative Example Number:				
		36	37	38	39	40
Surface Conditioning Treatment Composition Constituents and Process Conditions:	PREPALENE®-ZN, g/l	1	none	none	none	none
	Phosphate Particles	Abbreviation	none	Zn2CaP2	Zn3P2	Zn2CaP2
		Particle size, μm	none	0.8	6.8	0.6
		Concentration, g/l	none	10	1	5
	Monomer with Formula (I)	R ¹	H	none	none	CH ₃
		R ²	C ₂ H ₄ OH	none	none	C ₃ H ₇ OH
		Wt% in Polymer	100	none	none	20
	Other Unsaturated Acid Monomer	Monomer Name	none	none	acrylic acid	maleic acid
		Wt% in Polymer	none	none	100	80
	Other Comonomer	Monomer Name	none	none	none	styrene sulfonic acid
		Wt% in Polymer	none	none	none	50
	Polymer Concentration, ppm	1	none	2,000	3,000	5
Salt constituent(s)	Chemical Formula	MgSO ₄ • 7H ₂ O	Na ₂ O • SiO ₂ • 5H ₂ O	none	Na ₂ CO ₃	Na ₃ PO ₄ • 12H ₂ O
	Concentration, g/l	0.5	1	none	5	10
Surfactant Constituents	Abbreviation	none	none	none	none	EO11NPE
	Concentration, g/l	none	none	none	none	2.0
Treatment Temperature, °C		20	20	20	20	40
Treatment Time, Seconds		30	30	30	30	120
Phosphate Conversion Treatment Composition Constituents and Process Conditions:	Grams per Liter of:	PO ₄ ³⁻	10	15	20	18
		Zn ²⁺	0.8	1.3	2.2	1.5
		Mg ²⁺	2.0	none	none	2.5
		Co ²⁺	none	1.0	none	none
		Mn ²⁺	0.5	none	1.0	none
		Ca ²⁺	none	none	none	1.5
		Sr ²⁺	none	none	none	0.9
		WO ₄ ²⁻	none	none	0.3	none
		NO ₃ ⁻	8.3	7.6	9.0	8.0
		F ⁻	0.1	none	0.1	none
		NO ₂ ⁻	0.01	none	0.01	none
	NH ₄ OH	none	1.5	none	3.0	none
	Treatment Temperature, °C	40	45	50	39	43
	Treatment Time, Seconds	120	120	120	120	120

Table 20: APPEARANCE OF THE CONVERSION COATING AND RESULTS OF PAINTING PERFORMANCE TESTING FOR EXAMPLES 21 THROUGH 25

TEST OR OTHER RATING	SUBSTRATE TESTED	EXAMPLE NUMBER				
		21	22	23	24	25
Coating Appearance	CRS	+	+	+	+	+
	EG	+	+	+	+	+
	GA	+	+	+	+	+
Secondary (Water-Resistant) Adherence	CRS	++	++	++	++	++
	EG	++	++	+	++	++
	GA	++	++	+	++	++
Resistance to Hot Salt Water	CRS	++	++	++	++	+
	EG	++	++	++	++	++
	GA	++	++	++	++	++
Resistance to Salt Spray	CRS	+	++	++	+	+
	EG	++	+	++	+	++
	GA	++	+	++	+	++

Table 21: APPEARANCE OF THE CONVERSION COATING AND RESULTS OF PAINTING PERFORMANCE TESTING FOR COMPARISON EXAMPLES 31 THROUGH 40

TEST OR OTHER RATING	SUBSTRATE TESTED	COMPARISON EXAMPLE NUMBER									
		31	32	33	34	35	36	37	38	39	40
Coating Appearance	CRS	x	+	x	x	x	+	x	x	x	x
	EG	x	+	x	x	x	+	x	x	x	+
	GA	x	+	x	x	x	+	x	x	x	+
Secondary (Water-Resistant) Adherence	CRS	++	+	++	++	++	+	++	++	++	+
	EG	x	x	x	x	x	x	x	x	x	x
	GA	x	x	x	x	x	x	x	x	x	x
Resistance to Hot Salt Water	CRS	x	++	x	x	x	++	x	x	x	x
	EG	x	+	x	x	x	+	x	x	x	+
	GA	x	+	x	x	x	+	x	x	x	+
Resistance to Salt Spray	CRS	x	x	x	x	x	x	x	x	x	x
	EG	x	+	x	x	x	+	x	x	x	x
	GA	x	x	x	x	x	x	x	x	x	x

CLAIMS

1. A process for forming a phosphate conversion coating on a metal substrate surface, said process comprising the following operations:

(I) contacting the metal substrate surface with an aqueous liquid surface conditioning composition that comprises, preferably consists essentially of, or more preferably consists of, water and the following components:

(I.A) dispersed solid phosphate particles that:

(i) have a diameter no greater than 5 µm; and

(ii) comprise at least one substance selected from the group consisting of phosphates that contain at least one type of divalent or trivalent metal cations;

and

(I.B) as adhesion-promoting component, at least one selection from the group consisting of the following subgroups:

(1) monosaccharides, polysaccharides, and derivatives thereof;

(2) phosphorus containing solutes selected from the group consisting of orthophosphoric acid, condensed phosphoric acids, and organophosphonic acid compounds;

(3) water-soluble polymers that are homopolymers or copolymers of vinyl acetate and derivatives of these homopolymers and copolymers; and

(4) copolymers and polymers afforded by the polymerization of:

(a) at least one selection from:

-- monomers, exclusive of vinyl acetate, that conform to general chemical formula (I):



where $R^1 = H$ or CH_3 and $R^2 = H$, C_1 to C_5 alkyl, or C_1 to C_5 hydroxyalkyl; and

-- other α,β -unsaturated carboxylic acid monomers; and, optionally,

(b) not more than 50 % by weight of monomers that are not vinyl acetate and are not within the description of part (a) immediately above but are copolymerizable with said monomers that are

within the description of said part (a);

and

(II) contacting the metal substrate surface as conditioned in operation (I) as described above with a nickel-free phosphate conversion treatment bath that comprises water and the following amounts of the following components:

5 (II.A) from 0.5 to 5 g/l of zinc cations;

(II.B) from 5 to 30 g/l of phosphate ions; and

(II.C) a component of conversion accelerator.

2. A process according to claim 1, wherein the phosphate conversion treatment
10 bath also contains from 0.1 to 3.0 g/l of at least one type of ions selected from the group consisting of magnesium ions, cobalt ions, manganese ions, calcium ions, tungstate ions, and strontium ions.

3. A process according to claim 2, wherein the concentration of component (I.A) is from 0.001 to 30 g/l and the concentration of component (I.B) is from 1 to 2,000 ppm.

15 4. A process according to claim 1, wherein the concentration of component (I.A) is from 0.001 to 30 g/l and the concentration of component (I.B) is from 1 to 2,000 ppm.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US00/22335

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) :B05D 1/18, 3/10; C23C 22/07

US CL :427/327, 328, 430.1, 435; 148/253, 254, 262, 263

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 427/327, 328, 430.1, 435; 148/253, 254, 262, 263

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
NONE

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EAST: USPAT, EPO, JPO, DWPI

search terms: phosphate, conversion, coating, activate, conditioning, dispersion, zinc, adhesion, accelerator

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 4,717,431 A (KNASTER et al.) 05 January 1988, abstract, col. 5, line 58-col. 6, line 8, col. 9, lines 1-4.	1-4
Y	US 3,395,052 A (YONEZAKI et al.) 30 July 1968, col. 2, lines 45-60.	1-4
Y	US 5,326,408 A (CORMIER) 05 July 1994, col. 4, lines 1-13.	1-4
Y	WO 98/39498 A1 (NAKAYAMA et al.) 11 September 1998, pg. 8, lines 12-20.	1-4
A	US 5,494,504 A (WRIGHT) 27 February 1996.	

Further documents are listed in the continuation of Box C.

See patent family annex.

• Special categories of cited documents:			
"A"	document defining the general state of the art which is not considered to be of particular relevance	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E"	earlier document published on or after the international filing date	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L"	document which may throw doubts on priority (claims) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O"	document referring to an oral disclosure, use, exhibition or other means	"&"	document member of the same patent family
"P"	document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

28 SEPTEMBER 2000

Date of mailing of the international search report

25 OCT 2000

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

MICHAEL BARR

Telephone No. (703) 308-0661